

Firm's Legal Name			Fiscal Year End		
Firm's Contact Name		Title		Date Established	
Address		City		State	Zip
Phone		Fax		Email	
Business (A) or (A) ^ <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> LLC (corp.) <input type="checkbox"/> LLC (partnership) <input type="checkbox"/> LLC (sole prop.) <input type="checkbox"/> S-Corporation <input type="checkbox"/> Sole Prop. <input type="checkbox"/> LLP (corp.) <input type="checkbox"/> LLP (partnership)				Nature of Business	
				Tax ID (EIN)	
Was there a predecessor company in past 24 months?: <input type="checkbox"/> No <input type="checkbox"/> Yes			Name		Business Entity Type
Fiscal Year End					

Special Arrangements

Q1 Does the company, its owners, or spouses of owners – together or separately – own 80% or more of another company (or otherwise form a "Controlled Group" as defined by IRS)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Q2 Does the company provide "Professional Services" (as defined by IRS, e.g., medical, legal, etc.) in conjunction with another Professional Services company in which there is common ownership (or otherwise form an "Affiliated Service Group" as defined by IRS)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Q3 Does the company provide management services to another business on a regular and continuing basis (i.e., management-type ASG)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Q4 Does the company use independent contractors?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Q5 Does the company have union employees?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Q6 Does the company use leased or shared employees?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Q7 Does the company lease employees to other companies?	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you answered "YES" to any of the above, please attach a description of the arrangement(s) on a separate sheet.

Existing/Prior Plan Information

Existing Plan:	<input type="checkbox"/> None <input type="checkbox"/> 401(k) <input type="checkbox"/> SIMPLE-IRA <input type="checkbox"/> SEP <input type="checkbox"/> Profit Sharing <input type="checkbox"/> Defined Benefit <input type="checkbox"/> Other:	Total Assets \$	Prior Plan: Has the company previously sponsored a Defined Benefit Plan or 401(k) Plan? <input type="checkbox"/> No <input type="checkbox"/> Yes
If Existing 401(k)	Service Requirement: <input type="checkbox"/> 12 Months <input type="checkbox"/> Immediate <input type="checkbox"/> Other:	Current Match: <input type="checkbox"/> No <input type="checkbox"/> Yes	Loans: <input type="checkbox"/> No <input type="checkbox"/> Yes
	Entry Dates: <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Other:	Safe Harbor: <input type="checkbox"/> No <input type="checkbox"/> Match <input type="checkbox"/> 3% NEC	# of Loans:
	Current Recordkeeping System: <input type="checkbox"/> Daily Valuation <input type="checkbox"/> Balance Forward (traditional)	Investment Platform:	

Proposed Plan Objectives

Maximize Benefits for: <input type="checkbox"/> Owners <input type="checkbox"/> Key Employees <input type="checkbox"/> n/a	Total # Employees:	Number Eligible:	# Expected to Defer:
Proposed 401(k) Provisions	Matching Contributions: <input type="checkbox"/> No <input type="checkbox"/> Yes	Use Safe Harbor Provisions: <input type="checkbox"/> No <input type="checkbox"/> Match <input type="checkbox"/> NEC	Allow Roth Deferrals: <input type="checkbox"/> No <input type="checkbox"/> Yes
	Profit Sharing Contributions: <input type="checkbox"/> No <input type="checkbox"/> Yes	Allow Loans: <input type="checkbox"/> No <input type="checkbox"/> Yes	Allow Hardship Distributions: <input type="checkbox"/> No <input type="checkbox"/> Yes
	Service Requirement: <input type="checkbox"/> 12 Months <input type="checkbox"/> Immediate <input type="checkbox"/> Other:	Entry Dates: <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Other:	

Advisors

Financial Advisor		Accountant	
Name	FA ID#	Name	
Firm	Branch ID#	Firm	
Address		Address	
City/State/Zip		City/State/Zip	
Phone	Email	Phone	Email
<small>Benetech occasionally sends information of interest to retirement plan advisors. To opt out, check box below.</small> <input type="checkbox"/> I do not wish to receive general information on retirement plans.		<small>Benetech occasionally sends information of interest to retirement plan advisors. To opt out, check box below.</small> <input type="checkbox"/> I do not wish to receive general information on retirement plans.	

Notes

Confidential Employee Census

List **ALL** employees paid during the year, including part-time & terminated

- **Sole Proprietors** and **Partners** use **net earned income** as "Total Annual Compensation". **Owners of corps** use **W-2 wages** only.
- Indicate "Family Relationships" by relationship and employee number (example: "Child of #3").
- The term "officer" for plan purposes has a functional definition indicating an administrative executive. An employee who does not have the title of an officer but who does have the authority of an administrative executive is an "officer" for this purpose. Similarly, an employee with the title of an officer but who does not have the authority of an administrative executive is not an "officer" for this purpose.

Employee Name (Last, First, MI)	Company Officer?	% Owner- ship	Date of Birth	Date of Hire	Date of Termination	Family Relationships	Employee to work LESS THAN 1,000 hours this fiscal year?		Expected Current Year Total Annual Compensation
1.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
2.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
3.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
4.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
5.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
6.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
7.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
8.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
9.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
10.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
11.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
12.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
13.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
14.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
15.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
16.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
17.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
18.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
19.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
20.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
21.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
22.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	
23.	<input type="checkbox"/>						<input type="checkbox"/> Yes	<input type="checkbox"/> Yes, but more than 1000 in a prior year	