Owner-only Defined Benefit Plan Fee Schedule

This fee schedule outlines Benetech’s fees for common plan services for owner-only defined benefit plans. Owner-only fees assume that the plan satisfies the requirements for using Form 5500-EZ (for requirements, see Form 5500-EZ instructions) and assume that only the owner (and spouse of owner), or partners in a partnership (and their spouses) are the only eligible employees of the company (or related group of companies) sponsoring the plan, and that the company (or related group of companies) does not use leased or shared employees.

Plans that do not satisfy these requirements are subject to Benetech’s standard fee schedule for plans with employees.

If the Defined Benefit plan is paired with a 401(k) plan, the 401(k) plan must be on a Benetech document rather than an Edward Jones document.

### Annual Fees

**Base Annual Fee**
- Defined Benefit Plan Only: $1,450
- Fee per Additional Participant: $100
- Combined Defined Benefit and 401(k) Profit Sharing Plans: $1,850
- Fee per Additional Participant: $100

**One-time Plan Establishment Fees**

**One-Time Setup Fees**
- Defined Benefit Plan Only: $750
- Combined Defined Benefit and 401(k) Profit Sharing Plans: $950

**One-Time Takeover Fee (if applicable)**
- Defined Benefit Plan Only: $650
- 401(k) or Profit Sharing Plan: $250

*All plans must be reviewed prior to acceptance.*

### Potential Additional Defined Benefit Fees

- 70 ½ Required Distributions: $150 each
- Distributions: $100 each
- Participant Loan Annual Maintenance: $80 each
- Participant Loan Origination: $150 each
- Multiple Adopting Employers: $150/employer
- Additional Valuations (including estimates): $650 each
- 5558 Filing Extension for Form 5500: $75 each
- Priority Processing: $350 minimum
- Trust Accounting: $150 (minimum) per plan

*Trust Accounting fee is charged if investment statements are provided in lieu of completing the Annual Trust Summary Form.*

- Plan Amendments: $400 each (minimum)
- Revised Reports: 50% annual administration fee (minimum)
- Plan Termination: Obtain Fee Quote from Benetech Consulting and Additional Services: Obtain Fee Quote from Benetech

### Billing Procedures

Benetech invoices the estimated annual fee on a semi-annual basis during the plan year. Miscellaneous services are invoiced when they are performed. A final invoice will be sent with the Form 5500 for any amount not already billed. Installation fees are billed at the time of set-up and are in addition to the annual fees noted above.

### Services

**Installation Services**
- Initial consulting services to implement plan
- Preparation of Plan & Trust Document and related items
- Provide Sample Resolutions
- Establish plan on Benetech’s administrative system
- Beneficiary Forms

**Administration Services**
- Assistance in procedural and administrative matters
- Calculation & allocation of employer contributions
- Complete actuarial certification
- Allocation of investment fund gain/loss and forfeitures
- Maintenance of vesting records
- Preparation of Form 5500-EZ & related schedules